

AMENDMENTS TO THE CLAIMS

This Listing of Claims will replace all prior versions, and listings, of claims in this application:

Listing of Claims:

1. (Currently Amended) A computer-implemented method of rebalancing a portfolio of assets to achieve optimality, the method comprising ~~the steps of:~~

transmitting to a customer an alert message for alerting an imbalance status of a customer's portfolio, and a list comprising at least one of recommended rebalancing transactions, each recommended rebalancing transaction comprising asset information identifying a specific asset, quantity information identifying a specific number of units of the specific asset, and transaction information comprising one of a buy instruction and a sell instruction;

receiving from the customer a single response to the transmitted alert message;
and

automatically implementing the list comprising at least one of recommended rebalancing transactions based on the received customer's response to cause execution of each recommended rebalancing transaction.

2. (Currently Amended) The computer implemented method of claim 1, wherein the transmitting step is performed via a first customer-defined communications method.

3. (Currently Amended) The computer implemented method of claim 2, further comprising ~~the step of:~~
automatically retransmitting the alert message and the list comprising at least one of recommended rebalancing transactions to the customer via a second customer-defined communications method if the step of transmitting via the first communications method was not successfully executed.

4. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response constitutes performing a single action by the customer.

5. (Original) The computer implemented method of claim 4, wherein the single action comprises one of the following: pressing a button, touching a portion of a screen, or speaking a sound.

6. (Currently Amended) The computer implemented method of claim 1, further comprising ~~the step of:~~

verifying the identify of the customer prior to the implementing step.

7. (Currently Amended) The computer implemented method of claim 1, wherein the verifying ~~step~~ is performed automatically by a computer system.

8. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the transmitting step,~~ the alert message is generated based on an alert level set by the customer.

9. (Currently Amended) The computer implemented method of claim 1, wherein the implementing step includes ~~the steps of:~~

generating execution instructions based on the list comprising at least one of recommended rebalancing transactions; and

transmitting the execution instructions to an electronic trading system, whereby each respective transaction of the list comprising at least one of recommended rebalancing transactions ~~are~~ is executed electronically ~~executed~~.

10. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response is contained in a return e-mail from the customer, wherein the return e-mail includes a transaction number identifying the list comprising at least one of recommended rebalancing transactions.

11. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response is received on paper, and wherein the paper includes an optical code for retrieving the list comprising at least one of recommended rebalancing transactions, and verification information for verifying the identity of the customer.

12. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response is received as a voice sound, wherein the voice sound is recognized using a voice recognition device.

13. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response is received through a wireless communications network.

14. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response is received from a financial Kiosk.

15. (Currently Amended) The computer implemented method of claim 1, wherein, ~~in the receiving step,~~ the customer's response is received from a computer of the customer using a financial program installed on the computer.

16. (Currently Amended) A computer-implemented system of rebalancing a portfolio of assets to achieve optimality, the system comprising:

a first unit for determining an imbalance status of a customer's portfolio and preparing an alert message to alert the customer and a list comprising at least one of recommended rebalancing transactions that optimizes the portfolio; and

a second unit for transmitting the alert message and the list comprising at least one of recommended rebalancing transactions to the customer, receiving a single

response of the customer to the transmitted alert message, and automatically implementing each transaction of the list comprising at least one of recommended rebalancing transactions based on the received customer's response.

17. (Currently Amended) The computer implemented system of claim 16, wherein the second unit transmits the alert message and the list comprising at least one of recommended rebalancing transactions via a first customer-defined communications method.

18. (Currently Amended) The computer implemented system of claim 17, wherein the second unit automatically transmits the alert message and the list comprising at least one of recommended rebalancing transactions to the customer via a second customer-defined communications method if the second unit detects that the transmission via the first communications method was not successfully executed.

19. (Original) The computer implemented system of claim 16, wherein the customer's response constitutes performing a single action by the customer.

20. (Original) The computer implemented system of claim 19, wherein the single action comprises one of the following: pressing a button, touching a portion of a screen, or speaking a sound.

21. (Currently Amended) The computer implemented system of claim 16, wherein the second unit verifies the identify of the customer prior to implementing the list comprising at least one of recommended rebalancing transactions.

22. (Currently Amended) The computer implemented system of claim 16, wherein, to implement the list comprising at least one of recommended rebalancing transactions, the second unit generates execution instructions based on the list comprising at least one of recommended rebalancing transactions; and transmits the execution instructions to an electronic trading system, whereby the each transaction of the list comprising at least one of recommended rebalancing transactions ~~are is~~ executed electronically ~~executed~~.

23. (Currently Amended) The computer implemented system of claim 16, wherein the second unit receives, as the customer's response, a return e-mail from the customer, wherein the return e-mail includes a transaction number identifying the list comprising at least one of recommended rebalancing transactions.

24. (Currently Amended) The computer implemented system of claim 16, wherein the second unit receives, as the customer's response, an optical code for retrieving the list comprising at least one of recommended rebalancing transactions, and verification information for verifying the identity of the customer.

25. (Original) The computer implemented system of claim 16, wherein the second unit receives, as the customer's response, voice sounds which are recognized using a voice recognition device.

26. (Original) The computer implemented system of claim 16, wherein the second unit receives the customer's response through a wireless communications network.

27. (Original) The computer implemented system of claim 16, wherein the second unit receives the customer's response from a financial Kiosk.

28. (Original) The computer implemented system of claim 16, wherein the second unit receives the customer's response which is transmitted from a computer of the customer using a financial program installed on the computer.

29. (Currently Amended) A computer-implemented method of addressing a certain status of an item, the method comprising the steps of:

- monitoring a current status of the item based on user-defined parameters;
- automatically triggering transmission of an alert message to a user based on the user-defined parameters if the current status of the item has shifted to a second status;
- receiving a single response of the user to the alert message; and
- automatically performing a plurality of predetermined transactions in response to the single response from the user.

30. (Currently Amended) The computer implemented method of claim 29, wherein, in the triggering step, a list of the predetermined transactions actions is transmitted to the user along with the alert message.

31. (Original) The computer implemented method of claim 29, wherein the item involves a portfolio of assets, and the performing step optimizes the portfolio.